MAR 1 6 2001

DECLARATION **Utility Application** 

Lyon & Lyon LLP Docket Information

named inventor, I hereby declare that:

ence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled PHARMACEUTICAL COMPOSITIONS AND METHODS TO VACCINATE AGAINST DISSEMINATED CANDIDIASIS the specification of which

is sought on the	INVENTION	EMINATED CANDIDIASIS the specification of white Special No. 09/7158	
VACCINATE AGA			876
(Check One)		is attached hereto OR was filed on 11/19/2000 as United States Application Senal No. 09/715,8 or PCT International Application No and was amended on	_ (if
		applicable).	tion,

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment(s) referred to above.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

PCT international application	having a filling date below		Priority Claimed
Prior Foreign Application Number(s)	Country	Date of Filing	Yes ? No
Z.F.		attorn of any li	nited States provisional

I hereby claim the benefit under Title 35, United States Code §119(e) of any United States provisional application(s) listed below.

дрриосия ( )	
Application Number(s)	Filing Date
60/166,663	11/19/1999

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s), or § 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

the prior application and the	national of 1 of interest		Status-Patented,
U.S. Parent Application Number	PCT Parent Number	Parent Filing Date	Pending or Abandoned

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further	declare that all statements are lieved to be tree, and for	made herein of my own knowledg in ther that these statements are many	ade with the knowledge that w	illful false statements a Il and that such willful	nd the tike false
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201	RESIDENCE &	City Palos Verdes Estates	CA	USA	T 7:- C-d-
RADEM	POST OFFICE	370 Via La Selva	City Palos Verdes Estates	State or Country CA	Zip Code 90275
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	FULL NAME OF	FIRST Name	MIDDLE Initial	LAST Name	
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202	POST OFFICE		City	State or Country	Zip Cod
	ADDRESS	28890 King Arthur Court	Rancho Palos Verdes	CA	90275
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IN	VENTOR'S SIGNATU	RE			
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	I FIII.I. NAME OF	FIRST Name	WINDER BRANK		
	FULL NAME OF INVENTOR	Jim		Cutler, Ph.D.	shin
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	INVENTOR RESIDENCE & CITIZENSHIP POST OFFICE ADDRESS	Jim City Bozman 1426 Aschton	State or Foreign Country MO City Bozman	Cutler, Ph.D.  Country of Citizer USA  State or Country	Zip Co
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	INVENTOR RESIDENCE & CITIZENSHIP POST OFFICE ADDRESS	Jim City Bozman 1426 Aschton  TRE  THE SULE	State or Foreign Country MO City Bozman  MIDDLE Initial C.	Cutler, Ph.D.  Country of Citizer USA  State or Country MO  DATE 3/7/6  LAST Name Sheppard, M.I.	Zip Co. 59715
	INVENTOR  RESIDENCE & CITIZENSHIP  POST OFFICE ADDRESS  IVENTOR'S SIGNATU  FULL NAME OF INVENTOR  RESIDENCE &	Jim City Bozman  1426 Aschton  JRE FIRST Name Donald City	State or Foreign Country MO City Bozman  MIDDLE Initial C. State or Foreign Country	Cutler, Ph.D.  Country of Citizer USA  State or Country MO  DATE 3/7/2  LAST Name Sheppard, M.I.  Country of Citize	Zip Cox 59715
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DATE Fel 12, 2001

INVENTOR'S SIGNATURE

Lyon & Lyon LLP Docket Information 259/064\ MAR 1 6 2001 LAST Name MIDDLE Initial EDIRST Name INVENTOR Country of Citizenship State or Foreign Country City La Habra RESIDENCE & USA CA CITIZENSHIP Zip Code State or Country City POST OFFICE CA 90631 440 W. Country Hills Dr. La Habra **ADDRESS** DATE Frb 12, 2001 INVENTOR'S SIGNATURE

Patent 259/064



## POWER OF ATTORNEY By Assignee

HARBOR-UCLA Research and Education Institute, assignee(s) of the application for United States Letters Patent

PHARMACEUTICAL COMPOSITIONS AND METHODS TO VACCINATE AGAINST
DISSEMINATED CANDIDIASIS

John E. Edwards, Jr., M.D., Scott G. Filler, M.D., Jim Cutler, Ph.D., Donald C. Sheppard, M.D.,

<u>Ashraf Ibrahim, Ph.D., and Yue Fu</u>

the specification of which:

is filed herewith, OR was filed on 11/18/2000, having U.S. Patent Application Serial No. 09/715,876,

does hereby appoint as my attorneys and/or agents, with full power of substitution and revocation, to prosecute this application and transact all business in the United States Patent and Trademark Office, and in countries other than the United States, and to do all things necessary or appropriate therefor before any competent International Authorities in connection with any international patent application(s) corresponding to the above-identified application, all of the registered practitioners identified by Customer Number 22249:



22249

PATENT TRADEMARK OFFICE LYON & LYON LLP

Suite 4700 633 W. Fifth Street Los Angeles, CA 90071 (213) 489-1600

Please send all correspondence to the attention of Kurt T. Mulville, at the above Customer Number, and direct all telephone calls to 949/567-2300.

I, the undersigned, declare that I have reviewed copies of the documentary evidence establishing chain of title to the patent application identified above from the inventor(s) to the assignee(s), which:

67	which:
is filed for recordation herewith; or	
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has been sent for recordation under sep	; or
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To the best of the undersigned's knowledge and belief, title is in the assignee(s) identified above. Furthermore, the undersigned is empowered to sign this document on behalf of the assignee(s).

Full Name of Assignee: HARROR LICEA PEGELAR	
Full Name of Assignee: HARBOR-UCLA RESEARCH A Post Office Address: 1124 West Carson St. Town	AND EDUCATION INSTITUTE
Post Office Address: 1124 West Carson St., Torrance, CA Signature of Declarant or Assignee:	90502-2064
Coff Steer	Date:
Full Name of Declarant	3-14-01
If Other Than Assignee: C. William Steers	
or Decidant.	
Address of Declarant: 1124 West Carson St.,	Sident
ourson St.,	Torrance, CA 90502-2064



Applicant	_ <i>\B</i>	Automey's Docket No. 25
M D A-L	or Paretice: John E	Enwards, Jr., M.D., Scott G. Filler, M.D., Jim Cutler, Ph.D., Donald C. Sheppard
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Filed or Iso	sued: November 18,	0000
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United States	FR 1.9(e) for purp	t organization identified above qualifies as a nonprofit organization as
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		ts in the invention is listed below* and no rights to the invention are nventor, who would not qualify as an independent inventor under 37
NOTE: Separa	to wait .	nts are required from each named person, concern or organization

Separate verified statements are required from each named person, concern or organ having rights to the invention averting to their status as small entities. (37 CFR 1.27)



CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

NAME HA	RBOR-UCLA RESEARCH	AND EDUCATION INSTITUTE			
	ADDRESS 1124 West Carson Street, Torrance, California 90502-2064				
	☐ Individual	Small Business Concern	☐ Nonprofit Organization		
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	☐ Individual	Small Business Concern	☐ Nonprofit Organization		
IDSS OF ENTIR	iement to small entity stati naintenance fee due after	application or patent, notification of any cl us prior to paying, or at the time of paying the date on which status as a small entity	the earliest of the issue		
the knowled or both, und may jeopard	ormation and belief are be lge that willful false statem ler Section 1001 of Title 18	ade herein of my own knowledge are true elieved to be true; and further that these s ents and the like so made are punishable of the United States Code, and that suc ication, any patent issuing thereon, or an	tatements were made with by fine or imprisonment, by willful false statements		
111	PERSON SIGNING_C. IN ERSON SIGNING OF PERSON SIGNING 11	Villiam Steers Executive Vice President 24 West Carson Street, Torrance, Califor	nia 90502-2064		
SIGNATURE	Cuf Stee	DATE 3-14-01			